



BENEFICIAL OWNER DECLARATION

Client ID No.

Dear Client,

Pursuant to regulatory requirements in the field anti - money laundering and counter terrorism and proliferation financing binding on AS „Citadele banka“ Lithuanian branch and its group companies (hereinafter – the Bank), the Bank shall identify a beneficial owner receiving the certified Beneficial Owner Declaration of the Client. The Bank asks you to fill in the Beneficial Owner Declaration.

The Bank guarantees confidentiality of Clients’ personal data, data on Customers’ accounts, deposits and transactions. The personal data specified in the Beneficial Owner Declaration are processed in accordance with the Bank’s Privacy Protection Rules, which is published on the Bank’s website.

Thank you for understanding!

- Initial declaration
- Changes in previously provided information
- Declaration of BO change

CLIENT INFORMATION

Company name

Registration No.Date of registration

BENEFICIAL OWNER¹ (BO) INFORMATION

Name, surname

Date of birth Place (country) of birth

Personal Identity number (for Lithuanian residents³)/ Identity number (for Lithuanian non-residents⁴)

Country of residence Citizenship (Allegiance)

Information on personal identification document (for Lithuanian non-residents)

Document type passport identity card Identity document No.

Date of issue Date of expiry

Issuing authority Issuing country

Actual address

(street, house/building name or number, apartment/office No., city (region, inhabited place), zip code, country)

Information on tax residency⁵

Tax residence country Tax identification number

Tax residence country Tax identification number

BO’s personal activity (occupation) (please specify according to NACE 2 classification)

INFORMATION ON OWNERSHIP: Number of shares, votes (percentage) %

Type of ownership/control and relation to the Client: direct ownership indirect ownership

owner of shares/capital shares of the company

high level manager/ controlling person²

other (please specify):

INFORMATION ON RELATION TO THE CLIENT

Is the BO a legal representative/ official of the Client?

- No
- Yes, please provide the following information

Representative’s Position	Rights of Representation		
<input type="checkbox"/> Chairperson of the Board	<input type="checkbox"/> Right to represent individually	<input type="checkbox"/> Jointly	<input type="checkbox"/> No right of representation
<input type="checkbox"/> Member of the Board	<input type="checkbox"/> Right to represent individually	<input type="checkbox"/> Jointly	<input type="checkbox"/> No right of representation
<input type="checkbox"/> Authorized person (represents the company on the grounds of a power of attorney/ procuration)/ Other positions in the management body	<input type="checkbox"/> Right to represent individually	<input type="checkbox"/> Jointly	<input type="checkbox"/> No right of representation

.....
Signature

INFORMATION ON POLITICALLY EXPOSED PERSON STATUS

Is BO a politically exposed person⁶, family member of a politically exposed person⁷ or person closely associated with a politically exposed person⁸? **No** **Yes**, please provide the following information

	Name, surname	Country, in which the BO is/was holding a politically exposed position	Politically exposed position (please specify in accordance with the definition)
<input type="checkbox"/> BO is a politically exposed person	<i>Not required</i>		
<input type="checkbox"/> BO is a family member of a politically exposed person			
<input type="checkbox"/> BO is a person closely associated with a politically exposed person			

CLIENT'S SIGNATURE AND ACKNOWLEDGMENT

I, the undersigned, certify that the person indicated in this Declaration is the client's beneficial owner and undertake to immediately inform the Bank in writing of any changes in the above information on the financial assets' beneficial owner.

I certify that the information provided in the Declaration is complete and true, and I am aware that I will be held liable according to applicable laws for providing false or misleading information.

I am informed that AS „Citadele banka“ Lithuanian branch and its group companies process my personal data for the purpose of prevention of money laundering and financing of terrorism and proliferation in order to meet the requirements of regulatory enactments, provided that my rights are exercised in accordance with the Privacy Protection Rules published on <https://www.citadele.lt/>

Declared by **Customer's BO** **Customer's authorized person**

.....
Name, surname

.....
Signature

.....
Date

BANK'S NOTES

Representative of the Bank

Branch of the Bank.....

Seal

PLGK/PA-S-J-EN-0121.02-LT

¹ Beneficial Owner (BO) – a natural person who owns or controls the customer (legal entity or foreign state-owned enterprise) and / or the natural person on whose behalf the transaction or activity is performed. The beneficiary is:

- 1) in a legal person: (a) a natural person who owns or controls, directly or indirectly, a sufficient percentage of the shares or voting rights of that legal person, including through the management of bearer shares, except in the case of public limited liability companies, which are subject to disclosure requirements in accordance with European Union law, or equivalent international standards, or otherwise controlled. A natural person who owns 25 per cent and one share or more than 25 per cent of the client's property is considered a direct owner. The natural person (s) controlling the company or several companies that owns 25 percent and one share or more than 25 percent of the client's ownership is considered to be the indirect owner (s). ; (b) a natural person in a senior management position, if the person referred to in point (a) of this paragraph has not been identified or if there are doubts as to whether the identified person is the beneficiary;
- 2) in trusts, all of the following: (a) a trustee; (b) a trustee; (c) the custodian, if any; (d) a natural person who benefits from a legal person or entity without legal personality or, in the absence of such a person, from persons whose interests that legal person or entity without legal personality has established or operates; (e) another natural person who effectively controls the trust is owned, directly or indirectly, by other means;
- 3) in a legal person administering and distributing funds, in an entity of a form similar to a trust, a natural person holding a position equivalent to the duties specified in Paragraph 2 of this Part.

² Controlling Person – a natural person who holds a position in the highest management body of a legal entity or legal arrangement, in case it is not possible to determine the Beneficial Owner.

³ Resident – a natural person who has been assigned a personal identification code, as well as a natural person who resides in Lithuania in accordance with a temporary or permanent residence permit issued by a competent authority of the Republic of Lithuania is registered in the Population Register of the Republic of Lithuania.

⁴ Non-resident – any natural person who is not considered a Resident

⁵ Tax Residence – the country according to the legislation of which the Customer's income is subject to taxation. For example, based on a person's place of permanent residence, length of stay (usually 183 days or more in a 12-month period), close personal or economic relationship, or other criteria for income taxation in the relevant country (for example, in the United States, a U.S. tax resident is a US citizen/holder of a US residence permit (Green Card)).

⁶ Politically Exposed Person – are natural persons who have or have been entrusted with important public duties and their close family members or close assistant:

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| <ol style="list-style-type: none"> 1) Head of State 2) Head of Government 3) Minister, vice-minister or deputy minister 4) Secretary of State, chancellor to the parliament, government or ministry 5) Member of the parliament 6) Member of the Supreme Court, Constitutional Court or other supreme judicial authority whose decisions cannot be appealed against 7) Mayor of municipal government 8) Director of municipal administration 9) Head of an international intergovernmental organisation, its deputy head, member of its governing or supervisory body | <ol style="list-style-type: none"> 10) Leader of a political party, its deputy leader, member of its governing body 11) Member of the governing body of the supreme state audit and control authority 12) Chairperson, deputy chairperson or member of the board of the central bank 13) Ambassador, chargé d'affaires, 14) Commander of the Lithuanian Armed Forces, Commanders of the Armed Forces and Units, Chief of the Defense Staff or a high-ranking officer of the Armed Forces of foreign states 15) Member of the governing or supervisory body of state enterprise, public limited liability company, private limited liability company whose shares or part thereof, granting more than 1/2 of all votes in the general meeting of shareholders, are owned by the state 16) Member of the governing or supervisory body of municipal enterprise, public limited liability company, private limited liability company whose shares or part thereof, granting more than 1/2 of all votes in the general meeting of shareholders, are owned by the municipal government, and which are considered large enterprises according to the Law on Financial Reporting by Undertakings of the Republic of Lithuania |
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⁷ Family member of a politically exposed person – the spouse, the person with whom the partnership is registered (hereinafter - cohabitant), parents, brothers, sisters, children and spouses of children, cohabiting children.

⁸ Person closely associated to politically expose person:

- 1) a natural person who is a member of the same legal person or an organization without legal personality or maintains other business relations with a person holding or having held the duties;
- 2) a natural person who is the sole beneficiary of a legal person or an organization without legal personality, established or operating for the de facto property or other personal benefit of a person holding or having performed the duties