

Client number

Date

Dear Client! According to the provisions of the law and the standards of the international banking business, it is necessary for the bank to obtain the information as per questions listed in this questionnaire. The bank guarantees that the Client's personal data, data on the Client's accounts, deposits and deeds will be kept secret in accordance with the legislative requirements. Please fill in block letters. All amounts shall be indicated in euro currency. Thank you for your understanding!

1. Basic information about the client

1.1. Company Name

1.2. Registration number

1.3. Legal status (e.g. JSC, Ltd. etc.)

1.4. Legal address

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

2. Contact information

2.1. Actual address of the main office:

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

2.2. Address for mail correspondence:

2.2.1. ☐ legal address

2.2.2. ☐ actual address of the main office

2.2.3. ☐ other

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

2.3. Phone: 2.3.1. office 2.3.2. Name of the Country/code

2.3.3. mobile 2.3.4. Name of the Country/code

2.4. E-mail 2.5. FAX

3. Contact person (the information provided herein will be used if the client can not be reached)

3.1. Type of Bank's service/operation, in reference to which the contact person is to be contacted if client can not be reached:

3.1. Name, surname

3.2. Identity number or date of birth

3.3. Actual address

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

3.4. Phone:

3.4.1. at work 3.4.2. at home 3.4.3. mobile.....

3.5. E-mail..... 3.6. FAX

4. Services, products of the Bank, which the client plans to use:

4.1. ☐ current account 4.2. ☐ savings account 4.3. ☐ term deposit 4.4. ☐ payment card 4.5. ☐ loan / Leasing

4.6. ☐ currency transactions 4.7. ☐ transactions with financial instruments 4.8. ☐ remote account services

4.9. ☐ other (specify)

5. Information on tax residency

Tax residency means a country under whose laws and regulations the Client shall be considered a tax resident of that country based on the location of the Client's management, the Client's place of registration/incorporation, or based on any other criteria which pursuant to the national law of the relevant country serves as the basis for considering the Client as a tax resident in that country and taxation of the Client's income in the relevant country.

5.1 Country/countries where the Client is a tax resident

May be omitted if the answer to Question 6 or 13.1 is 'Yes'.

5.1.1 Name of the Country	5.1.2 Taxpayer identification number The taxpayer identification number may be omitted if the company is only a tax resident of the Republic of Lithuania

6. If the company is any of the following legal entities? ☐ Yes ☐ No

If answer is "Yes", please mark accordingly

6.1 ☐ state / municipal institution or a company wholly owned by a state / municipal institution

6.2 ☐ central bank

6.3 ☐ joint stock company whose shares are traded regularly on a regulated market of financial instruments

6.4 ☐ intergovernmental/transnational organisation which has a valid agreement regarding the location of its headquarters in the Republic of Lithuania and from the operation of which no income is acquired by private individuals

7. Sectors of business activity, for which purposes Client will use the Bank's offered services/products:

1. 2.
3. 4.

7.1. Description of business activity, for which purposes Client will use the Bank's offered services/products:

8. Accounts in other banks:

8.1. ☐ **DON'T HAVE**

8.2. ☐ **DO HAVE** (specify the banks and the countries, where the accounts are opened):

Bank	Country
1.	
2.	
3.	
4.	

9. Reason to choose "Citadele" bank:9.1. ☐ favorable tariffs9.2. ☐ favorable collaboration conditions9.3. ☐ other persons' recommendations9.4. ☐ Bank's reputation9.6. ☐ other (specify)

10. Actual period of the company's economic activity: 10.1. years 10.2. months

11. Information on:

11.1. Number of employees in the company:

11.2. Turnover of the company for the previous financial year:

12. The financial report of the company shall be submitted to a state institution:12.1. ☐ NO12.2. ☐ YES (specify, whether the financial report about the last reporting period has been submitted):12.2.1. ☐ NO12.2.2. ☐ YES (specify institution, where submitted):12.3. Financial report is available publicly: ☐ NO ☐ YES (specify the source):

13. The Client's statement of the Client's kind of activity and the beneficial owners**13.1 Does the Client render any of the following services within the framework of its business activity?**

- 1) acceptance of deposits (as the Client's main kind of activity) within the framework of banking or similar business;
- 2) trading in money market instruments (cheques, bills, derivatives, deposit certificates etc.), interest rate and index instruments, foreign exchange instruments, transferable securities or commodity futures traded on a regulated market dealing as per orders of its clients or on behalf thereof;
- 3) individual and/or collective securities portfolio management performed by the Client as per orders of its clients or on behalf thereof;
- 4) other investment, administration or management of financial assets on behalf of other persons;
- 5) savings insurance providing for life insurance operations with the accumulation of savings, including making investments on behalf of its clients and the obligation of disbursement of the capital accrued;
- 6) holding of financial assets of other persons (if the client's gross income from holding such assets and the rendering of related financial services makes at least 20% of the client's gross income).

13.1.1 ☐ YES (please submit Form W8-BEN-E or provide a GIIIN number certifying the Client's FACTA compliance)13.1.2 ☐ NO

13.2. Does the Client have any beneficial owners¹ other than tax residents² of the Republic of Lithuania?

(May be omitted if the Client's answer to Question 6 or 13.1 is 'Yes')

¹ **Beneficial owner** means any natural person who owns the Client or controls the Client and/or the natural person on whose behalf a transaction or activity is being conducted. The beneficial owner shall include:

1) in the case of a legal person: a) the natural person who owns or manages the legal person through direct or indirect ownership of a sufficient percentage of the shares or voting rights in that legal person, including through bearer shareholdings, or through control via other means, other than public limited liability companies whose securities are traded on regulated markets that are subject to disclosure requirements consistent with the European Union legislation or subject to equivalent international standards. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by an undertaking, which is under the control of a natural person(s), or by multiple undertakings, which are under the control of the same natural person(s), shall be an indication of indirect ownership; b) if no person under sub-point (a) of this paragraph is identified, or if there is any doubt that the person identified is the beneficial owner, the natural person who holds the position of senior managing official;

2) in the case of a trust: a) the settlor; b) the trustee; c) the protector, if any; d) the natural person benefiting from the legal person or entity not having legal personality, or where such a person has yet to be determined, the group of persons in whose main interest that legal person or entity not having legal personality are set up or operate; e) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means;

3) in the case of a legal person which administers and distributes funds, an entity similar to a trust – the natural person holding an equivalent position to that referred to in point 2 of this paragraph.

² **A tax resident** means an individual whose income is subject to taxation under the laws and regulations of relevant country, e.g., based on the place of permanent residence, duration of the stay (usually 183 days and more in the period of 12 months), close personal or economic relations or other criteria serving as the basis for the taxation of income in the relevant country (e.g. in the US, inter alia a US citizen/resident who has been assigned a residence permit (Green Card) shall be deemed a US tax resident).13.2.1 ☐ YES13.2.2 ☐ NO

13.3 If 'Yes', please specify the country in which the beneficial owners¹ is a tax resident and submit a statement of the Client's income structure³

13.3.1 Name of the Country 13.3.2 Name of the Country

13.3.3 Name of the Country 13.3.4 Name of the Country

³Please consult with the Bank's manager as to the necessity to fill in the statement

13.4. Does the Client have any beneficial owners¹ who are a politically exposed person⁴, immediate family member of a politically exposed person⁵, or person closely associated to a politically exposed person⁶?

⁴ **A politically exposed person** – a natural person who is or who has been entrusted with prominent public functions and their immediate family members or close associates of such persons. Prominent public function: 1) Head of State, Head of Government, minister, vice-minister or deputy minister, Secretary of State, chancellor to the parliament, government or ministry; 2) Member of the parliament; 3) Member of the Supreme Court, Constitutional Court or other supreme judicial authority whose decisions cannot be appealed against; 4) Mayor of municipal government, director of municipal administration; 5) Member of the governing body of the supreme state audit and control authority or chairperson, deputy chairperson or member of the board of the central bank; 6) Ambassador, chargé d'affaires, special envoy and minister plenipotentiary or high-ranking military officer; 7) Member of the governing or supervisory body of state enterprise, public limited liability company, private limited liability company whose shares or part thereof, granting more than 1/2 of all votes in the general meeting of shareholders, are owned by the state; 8) Member of the governing or supervisory body of municipal enterprise, public limited liability company, private limited liability company whose shares or part thereof, granting more than 1/2 of all votes in the general meeting of shareholders, are owned by the municipal government, and which are considered large enterprises according to the Law on Financial Reporting by Undertakings of the Republic of Lithuania; 9) Head of an international intergovernmental organisation, its deputy head, member of its governing or supervisory body; 10) Leader of a political party, its deputy leader, member of its governing body.

⁵ **Immediate family member of a politically exposed person** - means the spouse, the person with whom partnership has been registered ('cohabitant'), parents, brothers, sisters, children, children's spouses and children's cohabitants.

⁶ **Person closely associated to politically exposed person** – means a natural person who is a participant of the same legal entity or organisation without legal personality or maintains other business relationship with the natural person who is or was holding prominent public function, or a natural person who is a sole beneficiary of a legal entity or organisation without legal personality incorporated or acting de facto for material or other personal benefit of the natural person who is or was holding prominent public function.

13.4.1. ☐ **YES** (please provide information on the Client's beneficial owners who are a politically exposed person, family member of a politically exposed person, or person closely associated to a politically exposed person by filling in the Statement about beneficial owners)

13.4.2. ☐ **NO**

14. Place(-s) where economic activity of the Client is carried out

14.1. Economic activity is carried out only in the country of registration of the Company:

14.1.1. ☐ **YES** 14.1.2. ☐ **NO** (specify, in which country economic activity is carried out):

.....
.....
.....

14.2. Branches, representative offices in other countries:

14.2.1. ☐ **DON'T HAVE** 14.2.2. ☐ **DO HAVE** (specify actual address):

1.

2.

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

15. Planned incoming payment (EUR):

15.1. Total volume of monthly incoming payments	
15.2. Monthly quantity of payments	

16. Planned monthly accounts' turnover in the Bank:**16.1. Incoming payments**

16.1.1. Purpose of payments:

16.1.1.1. ☐ proceeds from buyers or receipts of service 16.1.1.2. ☐ proceeds from participation in other companies16.1.1.3. ☐ investments of owners of the company 16.1.1.4. ☐ loans16.1.1.5. ☐ other (specify)

16.1.2. Partners from whom the payments are to be received:

Name	Country of registration	Country where the account, from which a payment will be made, is opened
1.		
2.		
3.		
4.		
5.		
6.		
7.		

16.2. Outgoing payments

16.2.1. Purpose of payments

16.2.1.1. ☐ payments for supplies and services 16.2.1.2. ☐ mandatory state payments (taxes, fees)16.2.1.3. ☐ repayment of loans 16.2.1.4. ☐ administrative expenses16.2.1.5. ☐ wages (specify periodicity: 16.2.1.5.1. ☐ once a quarter, 16.2.1.5.2. ☐ once a month, 16.2.1.5.3. ☐ once a week)16.2.1.6. ☐ investments (specify investment sphere)16.2.1.7. ☐ other (specify)

16.2.2. Partners to whom the payments are to be made:

Name	Country of registration	Country where the account, from which a payment will be made, is opened
1.		
2.		
3.		
4.		
5.		
6.		
7.		

16.3. Monthly transactions in accounts (EUR):

Transaction type	Quantity	Average turnover
16.3.1. Incoming transfers		
16.3.2. Cash deposits		
16.3.3. Outgoing payments		
16.3.4. Cash withdrawals		
16.3.5. Payments with a payment card for goods and services		
16.3.6. Cash withdrawals using a card		

16.4. Planned maximum amount of one transaction (EUR)

Transaction type	Amount
16.4.1. Non-cash transaction, incl. using a payment card	
16.4.2. Cash transaction	

I hereby certify that origin of funds that is available to company is legal, and its source is:

- ☐ profit
☐ owner's capital (shareholder, participants)
☐ loans
☐ investments
☐ other (specify):
-

I hereby certify that the information provided in the Customer questionnaire is complete and true and I am aware I will be held liable according to applicable laws for providing false or misleading information. I also certify that I am authorized on behalf of the Customer to provide the information and self-certifications mentioned in the Customer questionnaire, and the information in my possession is sufficient to provide this information and self-certifications. I undertake to inform the Bank immediately about changes in the information provided in the Customer questionnaire.

.....
Client representative's name, surname

.....
Signature

Date

The Bank officer

.....
Name, surname

.....
Signature

Date
