

Client number
Date

Dear Client! According to the provisions of the law and the standards of the international banking business, it is necessary for the bank to obtain the information as per questions listed in this questionnaire. The Bank guarantees that the Client's personal data, data on the Client's accounts, deposits and deeds will be kept secret in accordance with the legislative requirements. Please fill in block letters. Thank you for your understanding!

1. Basic information about the Client

1.1. Company Name
1.2. Registration number
1.3. Legal address

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

2. Details of the Client's manager (Chief executive officer)

2.1. Name, surname
2.2. Identity number.....
2.3. Citizenship(s)
2.4. Identification document: passport identity card Other
2.5. Identity document No
2.6. Issuing date 2.7. Valid until
2.8. Identity document issuing country
2.9. Address:

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

2.10. Phone: 2.10.1. home 2.10.2. Name of the Country/code
2.10.3. mobile 2.10.4. Name of the Country/code
2.11. E-mail

3. Information regarding the status of a politically exposed person

3.1. Is the Client's manager a politically exposed person¹, immediate family member² of a politically exposed person, or a close associate³ to a politically exposed person?

¹ **Politically exposed persons** – natural persons who are or were holding a prominent public function, and their immediate family members or close associates. Prominent public function: 1) Head of State, Head of Government, minister, vice-minister or deputy minister, Secretary of State, chancellor to the parliament, government or ministry; 2) Member of the parliament; 3) Member of the Supreme Court, Constitutional Court or other supreme judicial authority whose decisions can not be appealed against; 4) Mayor of municipal government, director of municipal administration; 5) Member of the governing body of the supreme state audit and control authority or chairperson, deputy chairperson or member of the board of the central bank; 6) Ambassador, chargé d'affaires, special envoy and minister plenipotentiary or high-ranking military officer; 7) Member of the governing or supervisory body of state enterprise, public limited liability company, private limited liability company whose shares or part thereof, granting more than 1/2 of all votes in the general meeting of shareholders, are owned by the state; 8) Member of the governing or supervisory body of municipal enterprise, public limited liability company, private limited liability company whose shares or part thereof, granting more than 1/2 of all votes in the general meeting of shareholders, are owned by the municipal government, and which are considered large enterprises according to the Law on Financial Reporting by Undertakings of the Republic of Lithuania; 9) Head of an international intergovernmental organisation, its deputy head, member of its governing or supervisory body; 10) Leader of a political party, its deputy leader, member of its governing body.

² **Immediate family members** include spouse, registered partner, parents, brothers, sisters, children and children's spouses/partners.

³ **Close associate** means a natural person who is a participant of the same legal entity or organisation without legal personality or maintains other business relationship with the natural person who is or was holding prominent public function, or a natural person who is a sole beneficiary of a legal entity or organisation without legal personality incorporated or acting de facto for material or other personal benefit of the natural person who is or was holding prominent public function.

3.1.1 **Yes** please fill in the following information:

3.1.2 **No**

3.1.1.1 The Client's manager is a politically exposed person

3.1.1.1.1. The country where he or she is currently/were formerly holding a politically exposed position

3.2.1.1.2 Occupied position (please tick):

- | | | |
|---|--|---|
| <input type="checkbox"/> Head of State | <input type="checkbox"/> Leader of political party, its deputy, member of political party governing body | <input type="checkbox"/> St.enterprise governing/supervisory body member |
| <input type="checkbox"/> Head of Government | <input type="checkbox"/> Mayor of municipal government | <input type="checkbox"/> St.owned enterprise governing/supervisory body member |
| <input type="checkbox"/> Minister, vice-minister or deputy minister | <input type="checkbox"/> Director of municipal administration | <input type="checkbox"/> Mun.enterprise governing/supervisory body member |
| <input type="checkbox"/> Secretary of State | <input type="checkbox"/> Member of audit/control authority governing body | <input type="checkbox"/> Mun.owned enterprise governing/supervisory body member |
| <input type="checkbox"/> Chancellor to the parliament, government or ministry | <input type="checkbox"/> Chairperson, deputy chairperson of the central bank | |
| <input type="checkbox"/> Member of the parliament | <input type="checkbox"/> Member of the board of the central bank | |
| <input type="checkbox"/> Member of the highest instance court | <input type="checkbox"/> Ambassador, chargé d'affaires | |
| <input type="checkbox"/> Head of int. org., its deputy | <input type="checkbox"/> Special envoy and minister plenipotentiary | |
| <input type="checkbox"/> Member of int. org. governing/supervisory body | <input type="checkbox"/> High-ranking military officer | |

3.1.1.2. The Client's manager is a family member or a person closely associated to a politically exposed person

Name, surname of a politically exposed person

3.1.1.2.1 The country where politically exposed person is currently/were formerly holding the position

3.1.1.2.2 Occupied position (please tick):

- | | | |
|---|--|---|
| <input type="checkbox"/> Head of State | <input type="checkbox"/> Leader of political party, its deputy, member of political party governing body | <input type="checkbox"/> St.enterprise governing/supervisory body member |
| <input type="checkbox"/> Head of Government | <input type="checkbox"/> Mayor of municipal government | <input type="checkbox"/> St.owned enterprise governing/supervisory body member |
| <input type="checkbox"/> Minister, vice-minister or deputy minister | <input type="checkbox"/> Director of municipal administration | <input type="checkbox"/> Mun.enterprise governing/supervisory body member |
| <input type="checkbox"/> Secretary of State | <input type="checkbox"/> Member of audit/control authority governing body | <input type="checkbox"/> Mun.owned enterprise governing/supervisory body member |
| <input type="checkbox"/> Chancellor to the parliament, government or ministry | <input type="checkbox"/> Chairperson, deputy chairperson of the central bank | |
| <input type="checkbox"/> Member of the parliament | <input type="checkbox"/> Member of the board of the central bank | |
| <input type="checkbox"/> Member of the highest instance court | <input type="checkbox"/> Ambassador, chargé d'affaires | |
| <input type="checkbox"/> Head of int. org., its deputy | <input type="checkbox"/> Special envoy and minister plenipotentiary | |
| <input type="checkbox"/> Member of int. org. governing/supervisory body | <input type="checkbox"/> High-ranking military officer | |

4. The Client's statement of the Client's kind of activity and the beneficial owners⁴

⁴ **Beneficial owner** means any natural person who owns the Client or controls the Client and/or the natural person on whose behalf a transaction or activity is being conducted. The beneficial owner shall include:

1) in the case of a legal person: a) the natural person who owns or manages the legal person through direct or indirect ownership of a sufficient percentage of the shares or voting rights in that legal person, including through bearer shareholdings, or through control via other means, other than public limited liability companies whose securities are traded on regulated markets that are subject to disclosure requirements consistent with the European Union legislation or subject to equivalent international standards. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by an undertaking, which is under the control of a natural person(s), or by multiple undertakings, which are under the control of the same natural person(s), shall be an indication of indirect ownership; b) if no person under sub-point (a) of this paragraph is identified, or if there is any doubt that the person identified is the beneficial owner, the natural person who holds the position of senior managing official;

2) in the case of a trust: a) the settlor; b) the trustee; c) the protector, if any; d) the natural person benefiting from the legal person or entity not having legal personality, or where such a person has yet to be determined, the group of persons in whose main interest that legal person or entity not having legal personality are set up or operate; e) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means;

3) in the case of a legal person which administers and distributes funds, an entity similar to a trust – the natural person holding an equivalent position to that referred to in point 2 of this paragraph.

4.1 Information about the Client's shareholders (legal persons)

4.1.1. Specify legal entities directly controlling more than 25% of the Client's shares/voting rights:

Note: if there are no legal entities meeting the above criteria, please specify 4 major shareholders (legal entities).

Name	Registration No.	Address	Shares/voting rights (%)

4.1.2. If the Client's shares are also held by indirect shareholders (i.e. shareholders who manage the shares through other legal entities), please draw or attach to this form a graphic scheme of the shareholding structure down to the beneficial owners (natural persons) of the legal entity.

4.1.2.1. Please see attached the shareholding structure, pages 4.1.2.2. Please find the structure below:

4.2. Information about the Client's beneficial owners (controlling persons)

4.2.1. Specify natural persons who directly or indirectly own or control more than 25% of the Client's shares or voting rights, and natural persons who control the management of the legal entity (for whose benefit or in whose interests business relationship is maintained). **Please also provide information on the Client's beneficial owners by filling in the Declaration about beneficial owners.**

Note: if there are no persons meeting the above criteria, please specify 4 major direct and/or indirect shareholders (natural persons).

Name, surname	Identity number (or DOB)	Country issuing the identification document	Shares/voting rights (%)

I hereby certify that the information provided in the Customer questionnaire is complete and true and I am aware I will be held liable according to applicable laws for providing false or misleading information. I also certify that I am authorized on behalf of the Customer to provide the information and self-certifications mentioned in the Customer questionnaire, and the information in my possession is sufficient to provide this information and self-certifications. I undertake to inform the Bank immediately about changes in the information provided in the Customer questionnaire.

Client representative's name, surname

Signature

Date

The Bank officer

Name, surname

Signature

Date
